

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shuji ICHIKAWA et al.
Title: OIL-BASED INK COMPOSITION FOR OIL-BASED BALLPOINT PEN
Appl. No.: 10/528,404
Filing Date: 03/18/2005
Examiner: Unassigned
Art Unit: Unassigned

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the date of entry of the national stage as set forth in 37 CFR §1.491.

RELEVANCE OF EACH DOCUMENT

Some of the documents listed on the attached PTO/SB/08 were cited as being relevant during the prosecution of the corresponding International application. Copies of documents A4, A6, A9 – A13 are not being provided since copies should have been provided directly by WIPO under an exchange program between the PTO, the EPO and JPO. A copy of the International Search Report is attached setting forth the portion of each document considered relevant by the examiner.

The relevance of foreign language documents A1 – A3, A5, A7 and A8 are mentioned in the Specification.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date April 14, 2005

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: April 14, 2005 <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/528,404
				Filing Date	03/18/2005
				First Named Inventor	Shuji ICHIKAWA
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	053466-0399
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U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁸
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	A1	JP	57-38629			08/17/1982		
	A2	JP	61-52872			11/14/1986		
	A3	JP	3-28279			02/06/1991		
	A4	JP	3-168254		Mitsubishi Pencil Co Ltd.	07/22/1991		Abs
	A5	JP	6-247093			09/06/1994		
	A6	JP	8-209054		Pentel KK	08/13/1996		Abs
	A7	JP	11-21495			01/26/1999		
	A8	JP	11-158421			06/15/1999		
	A9	JP	2001-192599		Mitsubishi Pencil Co Ltd.	07/17/2001		Abs
	A10	JP	2002-12806		Mitsubishi Pencil Co Ltd.	01/15/2002		Abs
	A11	JP	2002-60669		Mitsubishi Pencil Co Ltd.	02/26/2002		Abs
	A12	JP	2002-97401		Pilot Ink Co Ltd.	04/02/2002		Abs
	A13	JP	2002-201398		Mitsubishi Pencil Co Ltd.	07/19/2002		Abs

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁸

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁸ Applicant is to place a check mark here if English language Translation is attached.

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